

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Vogelsang et al.  
 Serial No.: 10/576,865  
 For: METHOD FOR OPTIMISING THE UTILIZATION RATIO IN A DRIVE UNIT AND A DRIVE UNIT  
 International Filing Date: October 20, 2004  
 US Filing Date: April 21, 2006  
 Examiner: Not Yet Assigned  
 Art Unit: Not Yet Assigned  
 Confirmation No.: 1091  
 Customer No.: 27,623 Attorney Docket No.: WW034USU

**Mail Stop PCT  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 Attention: DO/EO/US**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
 PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by **Klaus VOGELSANG, Kurt ADLEFF, and Reinhold PITTIUS**;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **VOITH TURBO GMBH & CO. KG** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☒ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;

- \_\_\_ Preliminary Amendment; and
- \_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	14 - 14 =	0	x \$50.00	\$
Independent Claims	3 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee			x \$360.00 = \$0.00	
SEARCH FEE			\$500.00	
EXAMINATION FEE			\$200.00	
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)			\$	
TOTAL FILING FEE			\$PAID	
1/2 FILING FEE FOR SMALL ENTITY			\$	

☒ A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.

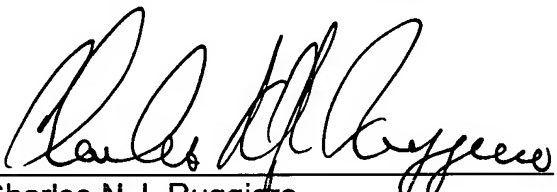
☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

February 28, 2007  
Date

03/05/2007 MKAYPAGH 00000061 10576865

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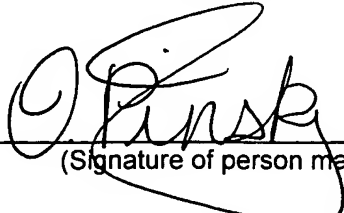
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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV925822007US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on February 28, 2007.

Olga Pinsky  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,865	Klaus Vogelsang	WW034USU

INTERNATIONAL APPLICATION NO.
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PCT/EP04/11861

I.A. FILING DATE	PRIORITY DATE
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10/20/2004

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
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 STAMFORD, CT 06901

CONFIRMATION NO. 1091

371 FORMALITIES LETTER



\*OC000000021751992\*

Date Mailed: 12/28/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Preliminary Amendments filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Priority Documents filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

RECEIVED  
 JAN - 2 2007  
 OHLANDT, GREELEY,  
 RUGGIERO & PERLE, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,865	PCT/EP04/11861	WW034USU

FORM PCT/DO/EO/905 (371 Formalities Notice)